
THE BOARD OF TRADE OF KANSAS CITY, MISSOURI, INC.

TO: MEMBERS, MEMBER FIRMS AND VENDORS
FROM: DAN UMSTATTD
SUBJECT: KCBT: FIA DISASTER RECOVERY TEST – OCTOBER 29, 2011
DATE: AUGUST 18, 2011

The Kansas City Board of Trade (KCBT) and KCBT Clearing Corporation (KCC) will be participating in the Eighth Annual Futures Industry Disaster Recovery Test on October 29, 2011. As such, we strongly encourage each of our member firms to participate as well. To register for this year's test please visit the [FIA DR test registration page](#). To access the registration form, please enter the following generic username/password on the left where it says "New Test Registration." The username is **bcdr** and the password is **fi41o**.

Following is the pertinent information for the FIA Disaster Recovery Test as it relates to the Kansas City Board of Trade (KCBT), KCBT products listed on Globex and KCBT Clearing Corporation (KCC).

CME Globex®

On the day of the test, the Globex system will be available in a Pre-Opening state. It is anticipated that the system should be available at approximately 7:30am that day. CME Group will make an announcement when the system is in Pre-Opening on the FIA Conference call that will be open that day.

Enter bids and offers in KCBT Wheat (KE), or Wheat Options (OKE) at the previous day's settlement price for the lead month (this will ensure your trade matches with other participants). Either October 28th or 29th may be used for trade date, but the KCBT Clearing Corporation will be running on a business date of October 29th. Enter in 5 orders for each commodity, KCBT Wheat (KE), and Wheat Options (OKE).

Clearing Members¹

The clearing system will be available on October 29th for login and entry of trades. Local representatives of clearing members will be directed by KCC on what trades to enter.

Connectivity to KCBT clearing

The KCC will be operating off of the systems at the KCBT's remote data center. Firms are requested to connect to the clearing system in the normal manner using the same URL, login and password information. We ask that you work with your firm's personnel responsible for operations at the KCBT to obtain this information. If you are unsure of whom to contact, clearing can direct you to the correct contact person.

Trade entry

For firms with their KCBT operations personnel participating, we ask that you enter open outcry trades as directed by the KCC. Additionally, if you have firms for whom you clear which have requested trades be entered on their behalf, we ask that you simply enter

both sides of a trade that will match. This will ensure you have trades from clearing that you may process and pass on to your customer.

Ability to pull trades and reports

The trades file will be available for download. A comprehensive trade file will be generated and available every 15 minutes per normal operations. We request that each firm using its normal methods test their ability to download trades. Here again, the same address information should allow you to seamlessly connect to the backup clearing systems.

Test Completion

Please send an email to the following address upon completion of testing:
disasterrecovery@kcbt.com.

¹NOTE: Firms that require explicit authorization for access to specific Public IP addresses should work with their networking and KCC personnel to ensure they will be able to connect to the KCBT remote data center.

Summary and Timeline²

> 8:00 a.m.	Upon announcement by the CME on the FIA conference call that Globex is available. Enter orders in a KCBT contract listed on Globex. (Wheat (KE), or Wheat Options (OKE).
8:00 a.m. – 10:00 a.m.	Trades executed on Globex will be transmitted to KCBT Clearing.
8:30 a.m. – 10:00 a.m.	Clearing member representatives enter scripted trades into KCC system per provided script.
~ 15 minutes after receipt of trades from Globex.	Trade files available for download from KCC.
> 10:00 a.m.	Send email to disasterrecovery@kcbt.com confirming completion and, if known, whether testing was successful or not. If not, please document any issues.

² - All times U.S. Central Standard time.

KCBT-related contact information:

KCBT & KCC Disaster Recovery Team disasterrecovery@kcbt.com

KCBT: Dan Umstatttd (816) 753-7500 dumstatttd@kcbt.com

KCC: Chuck Savage (816) 931-8964 csavage@kcbt.com

CME Globex: Please contact your Globex Account Manager or Globex Control Center (GCC).