

Energy Clearing: ICE Clear Europe participation in the 2011 FIA Industry-Wide Disaster Recovery Test

ICE Clear Europe (the Clearing House) is pleased to announce its participation in the FIA Industry-Wide Disaster Recovery Test (Disaster Recovery Test) scheduled for Saturday, 29 October 2011. Further information relating to the Disaster Recovery Test are set-out below.

Scope of the Disaster Recovery Test

As part of the Disaster Recovery Test, the Clearing House will provide the following clearing services from its disaster recovery site in Atlanta.

The following systems will be available to test:

- ECS <https://ecs.euclearing.theice.com>
- PTMS <https://ptms.euclearing.theice.com/client/>
- ACT <https://act.euclearing.theice.com/AllocateClaim/login.jsp>
- Crystal Reports <https://reports.euclearing.theice.com>
- AFTS <https://afts.euclearing.theice.com>

Energy Clearing Members will be able to test connectivity to the above systems. Please note that TRS/CPS is not part of the test plan.

Energy Clearing Members will be able to use the above systems/reports in the normal manner. The reports will show live data and be dated 29 October 2011 to show that they are part of the test.

The test will run from 9.00 to 12.00 EDT (14:00 to 17:00 BST). After 13.00 test data will no longer be available. Although there are no formal test scripts, the Clearing House has set-out a test schedule which Energy Clearing Members may wish to follow. This test schedule is attached to this Circular.

Connectivity Pre-Test – Saturday 27 August 2011 (Ping Test)

Energy Clearing Members will be able to conduct connectivity pre-testing (Ping Test) on Saturday 27 August 2011.

Energy Clearing Members may complete pretesting on this date to ensure successful connectivity to the Atlanta Data Centre. **Energy Clearing Members who wish to participate in the Ping Test will complete and return a Ping Test Checklist to ICE Clear Europe at iceuops@theice.com.**

Disaster Recovery Test – Saturday 29 October 2011

Further information on the Disaster Recovery Test which will be held on Saturday 29 October is set out below.

▪ Test Day

ICE will establish a Conference Bridge number for Energy Clearing Members to use for any ICE-related questions or problems on the Test Day. The details are as follows:

CIRCULAR C11/119

25 August 2011

Category:

Operations

Attachments:

2011 FIA Disaster Recovery Test Clearing Member ping and test forms

Summary of content:

Energy Clearing: ICE Clear Europe participation in the 2011 FIA Industry-Wide Disaster Recovery Test

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USA Toll Free: 1 866 793 4279
USA Toll: 1 646 416 9486

Participant code: 563347#

The Conference Bridge will be available between 09:00-16:00

- **Trade Entry - 09:00 – 12:00**

Energy Clearing Members will be able to enter trades via the WebICE electronic trading platform for ICE Futures WTI Futures Contracts on the Test Day from 09:00 –12:00 EDT. ICE will switch the trading platform to its back-up configuration prior to the test and users will log in using their production user ID's and passwords.

- **Post Trade Management**

Trade executions and allocations will be visible in PTMS/ACT so that Energy Clearing Members can complete trade acceptance and allocation activities. Energy Clearing Members will need to successfully log into PTMS/ACT from their back-up location.

All clearing online applications (ECS, PTMS/ACT, eReports, AFTS) will be accessed using production URL's.

- **Trade Messaging**

FixML MQ message traffic – test trading and allocation activity will be reflected in the FixML real-time trade feed to Energy Clearing Members.

- **File Transfers – AFTS**

Production Match-off Files for the trading day Friday 28 October 2011 will be available for download in AFTS on Test Day.

The SPAN Risk Array File for the test will be available through AFTS and the CME SPAN web site.

- **Return to Production**

The Clearing House will re-establish production connectivity to all clearing applications between 13:00 and 14:00.

Clearing Members are asked to verify their connectivity to the production environment between 14:00 – 16:00 at the conclusion of the testing. ICE helpdesk (see conference bridge numbers above) will have technical support available to verify that Energy Clearing Members have re-established production connectivity.

All Energy Clearing Members participating in the Disaster Recovery Test are required to complete and return the Test Day checklist upon completion of testing. The Checklist should be completed and returned to to iceuops@theice.com.

Please note that testing is **not** mandatory. Energy Clearing Members participating are encouraged to consider testing from their own Disaster

Recovery sites.

ICE Futures Europe, ICE Futures US and ICE Clear US are also participating in this test weekend.

Registration for the Disaster Recovery Test

Energy Clearing Members are requested to register for the test through the FIA registration portal. By registering members will be able to get an overview of the entire FIA process and keep up to date with any new developments.

Upon first visiting the registration page, enter the generic username and password (referenced below) into the fields under "NEW Test Registration". This will give you access to the registration form. On subsequent visits (updates), users will enter the username and password that they created on their initial visit into the "EDIT Test Registration" box, to make changes or additions to data previously entered.

Generic log-in information:

<http://www.futuresindustry.org/disaster/dr-test-registration.asp>

Username: **bcdr**

Password: **fi41o**

All Energy Clearing Members have the ability to create their own username and password to access, submit and make updates to the registration form. The Clearing House would encourage cross institution co-ordination in order to avoid duplicate registrations.

Further details on this Industry event can be found on the FIA website at <http://www.futuresindustry.org/business-continuitydisaster-recovery.asp>

Contact details

The ICE Atlanta Helpdesk will be available at the Disaster Recovery site from 09.00 - 12.00 EDT by phone on +1 770 738 2101.

Should you have any questions in relation to this Circular or require any further information, please contact Mark Sobetzko, Operations Delivery Analyst by phone on +44 (0)20 7065 7608 or email mark.sobetzko@theice.com or Todd Carter, Senior Director – Clearing Systems, by phone on +1 770 857 2516 or email todd.carter@theice.com.

Please ensure that the appropriate members of staff within your organisation and customers are advised of the content of this Circular.

Signed:



Paul Swann
President & Chief Operating Officer

ICE Clear Europe Ltd, a Recognised Clearing House
under the Financial Services & Markets Act 2000

Registered in England & Wales with Registered Office at
Milton Gate, 60 Chiswell Street, London, EC1Y 4SA
Company Registration No. 6219884

ICE Clear Europe Circular C11/119

PING TESTING – Saturday 27 August 2011

Overview: The purpose of the ping tests is to allow Energy Clearing Members to exercise the procedures and system changes necessary to connect to the Clearing applications from their back up sites. You will see a Login page and/or banner message for each application. You will not need to log in to the applications.

Energy Clearing Members are asked to preregister their participation in the ping testing. Please contact ICE Clear Europe at iceuops@theice.com with the contact names and information for the test day.

Testing hours are from 09:00–12:00 EDT

Day of ping test contact info: IFUS NY Help Desk [212-201-8898](tel:212-201-8898)

1. AFTS – Users will connect to the sftp server.
 - <https://afts.euclearing.theice.com>
2. PTMS – Users will connect to the post trade management appl.
 - <https://ptms.euclearing.theice.com/client/>
3. ACT – Users will connect to give up trades
 - <https://act.euclearing.theice.com/AllocateClaim/login.jsp>
4. ECS – Users will connect to the clearing appl.
 - <https://ecs.euclearing.theice.com>
5. eReports – Users will connect to Crystal Report service.
 - <https://reports.euclearing.theice.com>
6. FixML trade feed / MQ channels
 - CM's will perform an MQ ping through their backup MQ channel. ICE's IP / Port is the same as production (199.79.194.12 1417)

Clearing Member Ping Test Checklist - Saturday 27 August 2011

Completed (or n/a)	Completed	Not
TIPS		
Connect to Login screen	_____	_____
AFTS file transfer		
Connect to Login screen	_____	_____
PTMS		
Connect to Login screen	_____	_____
ACT		
Connect to Login screen	_____	_____
ECS		
Connect to Login screen	_____	_____
eReports		
Connect to Login screen	_____	_____
FixML MQ message queue		
Ping channel	_____	_____

Date: _____

Clearing Member Name: _____

Clearing Member Rep: _____

Tele # & Email: _____

Please complete this form in regard to the Ping Test and return by fax or email scan to ICE Clear Europe

Fax +44 (0)20 7084 0320

Email iceuops@theice.com

Clearing Member DR/BCP Test Checklist - Saturday 29 October 2011

Completed (or n/a)	Completed	Not
webICE		
Enter Trades	_____	_____
TIPS		
Login successful	_____	_____
PTMS		
Accept Trades	_____	_____
ACT		
Give Up Trades	_____	_____
ECS		
Login successful	_____	_____
eReports		
Login successful	_____	_____
AFTS file transfer		
Put PCS, Large Trader	_____	_____
Take Matchoff File	_____	_____
FixML MQ message queue		
Receive Trade Messages	_____	_____

Date: _____

Clearing Member Name: _____

CM Rep: _____

Tele # & Email: _____

Please complete this form in regard to the functional DR test and return by fax or email scan to ICE Clear Europe

Fax +44 (0)20 7084 0320

Email iceuops@theice.com