

FIA EGUS Update

August 2009

Supporting FCMs

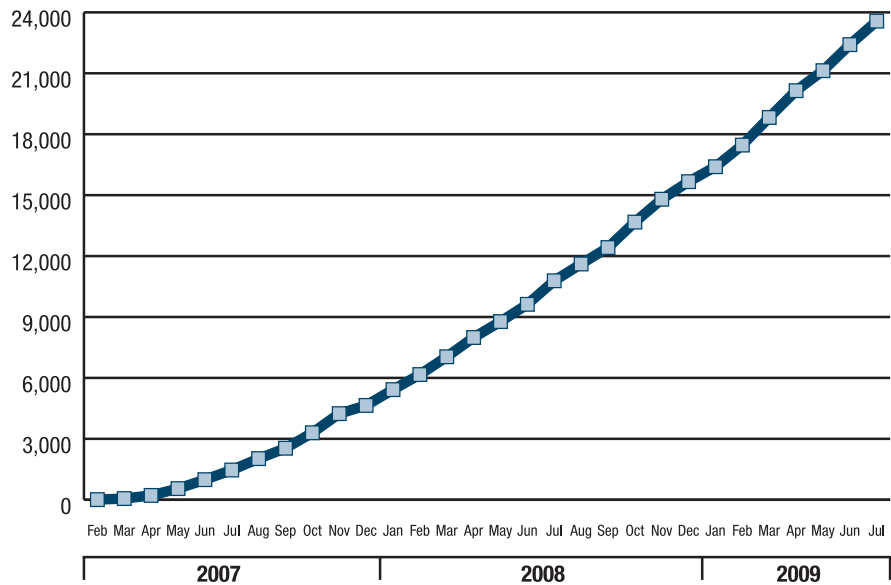


Morgan Stanley

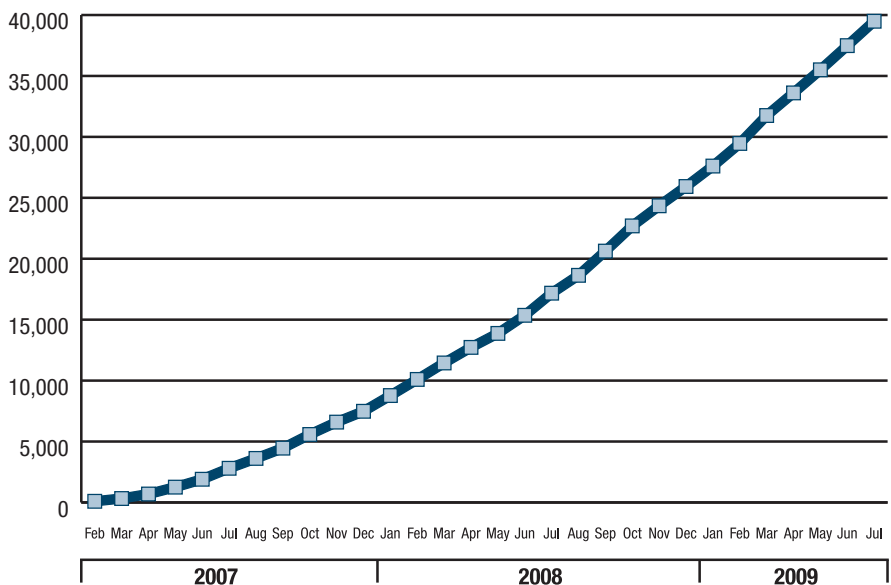


Number of Agreements Executed in EGUS Grows

Since the system launched in February 2007, more than 22,400 agreements have been executed using the system. In addition, our supporting FCMs have uploaded more than 43,000 documents for easy search capability. These documents can also be used as the basis to start new documents, creating an audit trail of agreements with a particular customer.



Number of Agreements Generated in EGUS



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Time to Execute Agreements Drops Dramatically

Before EGUS, firms told us that it could take from two weeks to even a year to get an agreement in place. If it was rushed it could be fully executed within a few days. Since EGUS launched in February 2007, the average time it takes to get an agreement in place has dropped from 39 days to two days. That sounds pretty good, but now for some really amazing news—we had an agreement completed between two U.S. brokers and the customer in just 37 minutes!

Give-Up? Get EGUS.

To find out how to sign up for EGUS,
email **Toni Vitale Chan** at
tvitale-chan@futuresindustry.org
or phone **U.S. 312.636.2919.**

Frequently Asked...

What is the purpose of the EGUS Adherence Agreement?

In order to participate in EGUS, each party must sign an adherence agreement. The EGUS Adherence Agreement is the mechanism by which new participants enter into a common understanding of the terms governing our internationally diverse EGUS user base. These terms and conditions were designed to eliminate some business uncertainties which may be created by different national laws. For example, the Adherence Agreement addresses the validity of electronic signatures. It does so by requiring a “real” signature on a tangible document establishing, at a minimum, each participant's intent to use and accept electronic signatures within the system. FIA does not give access to system users until an adherence agreement is received.

Executing and Clearing Brokers

ADG
ADM Investor Services
International
Advantage Futures
Alaron Trading Corp.
Altura Markets
Arb Oil OTC LLC
Arx Trading LLC
Avondale Futures Group
Ballista Securities
Banc of America
Banca IMI S.p.A.
Banco Santander
Bank of Montréal
BANKA AKROS SPA
Barclays
Bell Potter Securities
BGC
BMO Nesbitt Burns
Cadent
Calyon, London Branch
Cantor Fitzgerald Europe
Capstone Sales Advisors (UK)
LLP
CIBC
Citigroup
CLSA
Credit Suisse
Crossland LLC
Daiwa

Daman Quattro
Deutsche Bank
Dorman Trading
Dresdner Kleinwort Securities
Ebrokers (UK)
ED&F Man
Execution
Fortis Bank Global Clearing
Friedman Billings Ramsey
International
GFI
Global Equities
Goldman Sachs
GPR
Hencorp
HSBC
ICAP
Investec Bank
Interdin Bolsa
ITG
JB Drax Honore
JK Brokers
JPMorgan
Koch Metals
Kottke Associates
Liquidity Port
Louis Capital
Mackenzie Wallace
and Company
Macquarie Futures

Main First Bank
Marex Financial
MEB Options
Merrill Lynch
MF Global
M&G Commodities LTD.
Mint Equities
Mitsui Bussan Commodities
Mizuho
Morgan Stanley
MP Commodities
Natixis
Newedge Group
Nomura Securities
International, Inc
Michael J. Nugent & Co., Inc.
optionsXpress
Pali Futures
Penson GHCO
Prebon
Prudential Bache
Commodities
PVM
Rand Financial
RBS Greenwich Futures
R. J. O'Brien
Rosenthal Collins Group
Royal Bank of Canada
Schneider Trading
Scotia McLeod

SCS Commodities Corp.
Sigma Broking Ltd.
Skandinaviska Enskilda
Banken AB (publ)
Société Général
Standard Bank PLC
Sterling Commodities
Corporation
Tavira Securities
TD Securities
Terra Futuros CM
TFS Energy Futures, LLC
Tradelink
TradeStation Securities, Inc.
Tradition Securities and Futures
S.A.
Triland Metals
Triland USA
Tullett PreBon
Twinfields
UBS
Vantage Capital
Vision Financial Markets LLC
Voltrex Limited
Wachovia Capital Markets, LLC
XBZ Limited
Xconnect Trading

Note: **Bold** type face denotes supporting FCMs