



**NYSE Liffe U.S & NYPC  
2011 FIA DR TEST SCRIPT**

August 17, 2011



## Contents

1. General Test Information.
2. “Ping” Test Information.
3. NYSE/NYPC Failover Procedures
4. October 29<sup>th</sup> Test Date Order Entry
5. Communication to NYSE/NYPC during the Test – October 29th.



## **1. General Test Information.**

On Saturday October 29<sup>th</sup>, NYSE Liffe U.S and NYPC will take part in the FIA Disaster Recover Test. This document will provide the necessary information to support the pre and full tests this year.

These tests will test the basic ability to connect to the exchange and clearing houses secondary installation to conduct order entry and access post-trade information.

Exchange & Clearing members wishing to test with NYSE and NYPC must register prior to the main test via the FIA's website.

## **2. “Ping” Testing Information.**

Exchange & Clearing members that wish to take part in the main DR Test in October should verify their connectivity to the exchange & clearing houses secondary installations are operational.

On both August 27<sup>th</sup> and September 24<sup>th</sup> 2011, NYLU allow connectivity access from 09:00 am to 12:00 pm EST to both front and back office systems so that registered members can connect to both to prove connectivity from their backup installations.

- 1) For access to Liffe CONNECT, registered members will be able to connect and log in to the front end via their normal gateways as these will be automatically redirected to the NYSE secondary data center. However, no product information will be available.



- 2) For access to the NYPC post trade system (TRS), members will be able to use their normal IP address's and ports as again the data center redirection will be completed by the exchange, members will be able to connect to TRS but not login but be presented with a blank screen. If you contact our IT Team on the number below just prior to your connectivity test on the day they will be able to monitor the connection and verify the test.

Any issues on the day of either of the tests can be reported to the NYSE Technology team on +1 212 656 2161, registered members should also report their connectivity results so that they can be recorded for any recap activities.

### **3. NYLU/NYPC Failover Procedures**

NYSE will failover the Liffe Connect system as per it's standard disaster recovery procedures and we fully expect the system to be available for 09:00 am EST on the day of the test.

NYSE will announce when the Liffe Connect system and post trade system (TRS) will be ready and in the necessary states to proceed with the test over the FIA conference call.



## **4. October 29<sup>th</sup> Test Date Order Entry**

On the day of the test the Liffe CONNECT system will be brought up into a pre-open state for just the CFMPP (MSCI Pan Euro) Contract for 09:00 am EST, NYSE will announce this state over the FIA conference call.

Please Note: NYSE will not be opening the market so orders will not be matched by the trading engine.

All orders that are entered during this test will be pulled prior to the system being shutdown and failed back over to the primary data center.

The post trade system (TRS) will be brought up so that members can login and view the data or perform PC Gateway downloads for Friday October 28<sup>th</sup>.



## **5. Communication to NYSE/NYPC during the Test – October 29th.**

Member firms can communicate to NYSE via the FIA Conference for the duration of the test.

Members may also contact NYSE Market Operations on +1 866 933 5032 for questions in regards to Liffe CONNECT.

For Post Trade enquiries, members can contact the NYPC Operations team on +1 212 855 5220.

Any questions in regards to the testing prior to the testing dates can be directed to Steven Torretti at [storretti@nyx.com](mailto:storretti@nyx.com) or +1 212 656 5633.