

Financial Integrity Recommendations For Futures and Options Markets and Market Participants

Futures Industry Association Global Task Force on Financial Integrity

June 1995

On behalf of the Futures Industry Association Global Task Force on Financial Integrity, I am pleased to present the recommendations for futures and options exchanges/clearinghouses, brokers/intermediaries and customers. These recommendations, which were developed through the efforts of more than 60 participants from 17 jurisdictions, represent an unprecedented international initiative for the financial services industry.

These 60 recommendations reflect the contributions of futures and options organizations in Australia, Belgium, Canada, France, Germany, Hong Kong, Italy, Japan, the Netherlands, New Zealand, Norway, Singapore, South Africa, Spain, Sweden, the United Kingdom and the United States.

I would like to thank the sponsors of the Task Force and recognize the efforts of the representatives from various exchanges/clearinghouses, brokerage firms and institutions that have participated in this process either by responding to questionnaires or attending the meetings in Washington, D.C. and London.

We are pleased with the response and are confident that these recommendations will help us improve the financial integrity of our industry for all participants.

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